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PATENT
011823-002630US

Assistant Commissioner for Patents
Washington, D.C. 20231

On May 22, 2001

TOWNSEND and TOWNSEND and CREW LLP

By: [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

QUEEN ET AL.

Patent No.: 6,180,370

Issued: January 30, 2001

For: **IMPROVED HUMANIZED
IMMUNOGLOBULINS AND
METHODS OF MAKING THE
SAME**

Examiner: J. Reeves

Art Unit: 1642

**REQUEST FOR CERTIFICATE
OF CORRECTION**

Attn: Certificate of Corrections Branch
Assistant Commissioner for Patents
Washington, D.C. 20231

COPY

Sir:

Pursuant to 37 CFR 1.322, Applicant submits a Certificate of Correction correcting errors made at the time of printing of the patent. The desired corrections are set forth in the enclosed form PTO-1050.

The issued patent states that the application from which the subject patent issued, USSN 08/484,537, is a continuation-in-part of USSN 07/634,278. This is incorrect. Instead, USSN 08/484,537 is a continuation of USSN 07/634,278. Prior to issuance of the subject patent, Applicants had filed an amendment under 37 CFR 1.312 to correct the same error in the Examiner's amendment dated May 8, 2000. Subsequently, a Response to the Rule 312 amendment was mailed by the U.S. Patent and Trademark Office which indicated that the Rule 312 amendment filed by Applicants had been entered. For the PTO's reference, copies of the Rule 312 Amendment filed by Applicants and the Response from the U.S. Patent and Trademark Office are enclosed herewith.

APPROVED

OCT 22 2001

[Signature]
FOR THE COMMISSIONER OF PAT. & TM.

Applicant
Application No.:
Page 2

Applicant believes that no fee is required for this Certificate of Correction as the error is not due to any fault of Applicant. If, however, the Commissioner believes that a fee is due, the Commissioner is hereby authorized to charge such a fee to Deposit Account No. 20-1430.

Respectfully submitted,



Hugh Wang
Reg. No. 47,163

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Telephone (650) 326-2400
Facsimile (650) 326-2422
PA 3142927 v1

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 6,180,370
DATED : January 30, 2001
INVENTOR(S) : Cary L. Queen and Harold E. Selick

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the Title page, in the section titled "Related U.S. Application Data", delete the first occurrence of "Continuation-in-part", and insert therefor -- Continuation --. (U)

Mailing address of sender:

Patent No. 6,180,370

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Hugh Wang
Registration No.: 47,163
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San Francisco, CA 94111-3834

Atty Docket No.: 11823-002630US
Client Reference No.: 0026-30
PA 3142920 v1

COPY

Art Unit: 1642

U.S. Patent and Trademark Office
Washington, DC 20231

On June 7, 2000

By: Hugh Wang**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

CARY L. QUEEN ET AL.

Application No.: 08/484,537

Filed: June 7, 1995

For: IMPROVED HUMANIZED
IMMUNOGLOBULINS

Examiner: J. Reeves, Ph.D.

Art Unit: 1642

AMENDMENT UNDER 37 CFR §1.312
AND MPEP § 1302.04**COPY**Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Examiner's Amendment stated in the Notice of Allowability mailed May 8, 2000, please consider and enter the following amendment pursuant to 37 C.F.R. § 1.312(a) and MPEP § 1302.04. Comments on Statement of Reasons for Allowance under 37 C.F.R. § 1.104(e) are being submitted herewith under separate cover.

IN THE SPECIFICATION

On page 1, line 5 of the substitute specification, please delete "in part".

REMARK

The Examiner indicated that text in the Substitute specification, specifically, from page 28, line 19 to page 29, line 35, is not present in the issued U.S. Patent No. 5,530,101, and that this text is substantive in nature. The Examiner then stated that the subject application should therefore be termed a "continuation-in-part" rather than a continuation of application Serial No. 07/634,278, which issued as Patent No. 5,530,101. Therefore, an Examiner's amendment was made which add "in part" after "continuation" on page 1, line 5 of the Substitute specification.

As stated in the Comments on Statement of Reasons of Allowance submitted by Applicants herewith under separate cover, the text noted by the Examiner which is not present in the issued Patent No. 5,530,101 was present in the 07/634,278 specification as filed (i.e., on page 62, line 19 to page 64, line 18) and in the specification as filed in the subject application. Thus, the subject application is a continuation, rather than a "continuation in part", of the parent application serial No. 07/634,278, which issued as Patent No. 5,530,101.

If the Examiner believes a telephone conference would expedite issuance this application, please telephone the undersigned at 650-326-2400.

Respectfully submitted,



William M. Smith
Reg. No. 30,223

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WMS/HW



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NO. 007484537	FILING DATE 09/26/01	FIRST NAMED INVENTOR GRIFFIN	ATTORNEY DOCKET NO. 11823-002630
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020350 HM11/1011
TOWNSEND AND TOWNSEND AND CREW
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EIGHTH FLOOR
SAN FRANCISCO CA 94111-3834

EXAMINER BIRKE, J

ART UNIT 1642	PAPER NUMBER
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DATE MAILED: 10/11/00

011823-002630US

Please find below and/or attached an Office communication concerning this application or proceeding.

H. Wang
Commissioner of Patents and Trademarks

COPY

TOWNSEND & TOWNSEND
& CREW
00 OCT 20 AM 10:31
RECEIVED

**Response to Rule 312
Communication**

Application No.

08/484,537

Applicant(s)

Queen et al

Examiner

Julie E. Burke (Reeves), Ph.D.

Group Art Unit

1642

☐ The petition filed on _____ under 37 CFR 1.312(b) is granted. The paper has been forwarded to the examiner for consideration on the merits.

☒ The amendment filed on 13 Jul 2000 under 37 CFR 1.312 has been considered, and has been:

- ☒ entered.
- ☐ entered as directed to matters of form not affecting the scope of the invention (Order 3311).
- ☐ disapproved. See explanation below.
- ☐ entered in part. See explanation below.

The information disclosure statement filed 9/7/00, after the notice of allowance was sent out, fails to comply with 37 CFR 1.97(d) because it lacks a statement as specified in 37 CFR 1.97(e), it lacks a petition requesting consideration of the information disclosure statement and it lacks the petition fee set forth in 37 CFR 1.17(ii). It has been placed in the application file, but the information referred to therein has not been considered.

*J. Burke*JULIE BURKE
PRIMARY EXAMINER

RPD

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 6,180,370 *B1*
DATED : January 30, 2001
INVENTOR(S) : Cary L. Queen and Harold E. Selick

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

I+em [63]
On the Title page, ~~in the section titled~~ "Related U.S. Application Data", delete the first occurrence of "Continuation-in-part", and insert therefor -- Continuation --.

Mailing address of sender:

Patent No. 6,180,370

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Hugh Wang
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Atty Docket No.: 11823-002630US
Client Reference No.: 0026-30
PA 3142920 v1

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 6,180,370 B1
DATED : January 30, 2001
INVENTOR(S) : Cary L. Queen and Harold E. Selick

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title page,

In the section titled "Related U.S. Application Data", delete the first occurrence of "Continuation-in-part ", and insert therefor -- Continuation --.

Signed and Sealed this

Thirteenth Day of November, 2001

Attest:

Nicholas P. Godici

Attesting Officer

NICHOLAS P. GODICI
Acting Director of the United States Patent and Trademark Office